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3-28-02 allachment

March 12, 2002

INTERNET ADDRESS:
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Commissioner for Patents Washington, D.C. 20231

Art Unit 1633

Re:

U.S. Utility Patent Application

Appl. No. 09/964,666; Filed: September 28, 2001

For:

Transgenic Animals and Cell Lines for Screening Drugs

**Effective for the Treatment or Prevention of Alzheimer's** 

Disease

Inventors:

de la Monte et al.

Our Ref:

0609.4370003/RWE/FRC

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Information Disclosure Statement;
- 2. Listing of the cited documents on Form PTO-1449 (9 sheets);
- 3. A copy of each of the forty (40) cited documents on Form PTO-1449; and
- 4. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Steme, Kessler, Goldstein & Fox PLLC : 1100 New York Avenue, NW : Washington, DC 20005 : 202.371.2600 f 202.371.2540 : www.skgf.com

Commissioner for Patents March 12, 2002 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Robert W. Esmond

Attorney for Applicants

Registration No. 32,893

RWE:FRC:dcw Enclosures

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In re application of:

de la Monte et al.

Appl. No. 09/964,666

Filed: September 28, 2001

For:

Transgenic Animals and Cell Lines for Screening Drugs Effective for the Treatment or Prevention of Alzheimer's Disease Confirmation No. 3650

Art Unit:

1633

Examiner:

To be assigne

Atty. Docket: 0609.4370003/RWE/FRC

# **Information Disclosure Statement**

Commissioner for Patents Washington, D.C. 20231

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. A copy of each document is provided.

In accordance with 37 C.F.R. § 1.98(a)(3), Applicants' undersigned representative submits the following, in regards to non-English language document AL1 cited on Form PTO 1449:

Document AL1, European Patent No. EP 0 263 740, is in the French language. An English language abstract of document AL1 is attached as document AT7.

The Examiner's attention is directed to the following co-pending U.S. Patent Applications which are directed to related technical subject matter: U.S. Patent Application No. 09/380,203, filed April 25, 2000; U.S. Patent Application No. 09/964,678, filed September 28, 2001; U.S. Patent Application No. 09/964,412, filed September 28, 2001;

and U.S. Patent Application No. 09/964,667, filed September 28, 2001. The specifications of these applications are identical to one another, and are therefore "substantially cumulative." Accordingly, pursuant to 37 CFR § 1.98(c), a single copy of this specification is submitted herewith as document AS8. The claims currently pending from US patent application Nos. 09/380,203, 09/964,678, 09/964,412, and 09/964,667 are additionally submitted herewith as documents AT8, AR9, AS9 and AT9, respectively.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Robert W. Esmond

Attorney for Applicants Registration No. 32,893

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Date: Marh 12, 2002

1100 New York Avenue, N.W. Suite 600 Washington, D.C. 20005-3934 (202) 371-2600

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### INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.
0609.4370003/RWE/FRC

APPLICATION NO.
09/964,666

APPLICANT
de la Monte et al.

FILING DATE
September 28, 2001

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	AA1	4,873,191	10/10/1989	Wagner et al.	435	172.3	08/18/1986
	AB1	5,168,053	12/01/1992	Altman et al.	435	91	08/17/1990
	AC1	5,830,670	11/03/1998	de la Monte et al.	435	7.2	05/30/1995
	AD1	5,948,634	09/07/1999	de la Monte et al.	435	69.1	11/14/1994
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	A01	WO 92/07065	04/30/1992	WIPO			Y
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APPLICATION NO. 09/964,666

ATTY. DOCKET NO. APPLICAT 0609.4370003/RWE/FRC 09/964,6

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APPLICATION NO.
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de la Monte et al.

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	AS	5	Lannfelt, L Behav. Brain	., et al., "Alz n Res. 57:207-2	heimer's disease: molecu 13, Elsevier Science B.V	ular genetics and t . (1993).	ransgenic anim	al models,"
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### INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.

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	AS	9	Claims Curre 2001.	ently Pending	from U.S. Appl. No. 09/96	54,412, de la Monte <i>et</i>	: a1., filed	September 28,
	AT	2	Claims Curre 2001.	ently Pending	from U.S. Appl. No. 09/96	54,667, de la Monte <i>et</i>	: al., filed	September 28,
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